



STATE OF CONNECTICUT



TO: Family Child Care Home Applicants

FROM: Licensing Division

Thank-you for your interest in Family Child Care Home licensing. The **Initial Application** for licensure is designed to meet the requirements of the Regulations for Connecticut State Agencies for Family Child Care Homes, Sections **19a-87b-1 through 19a-87b-18**, inclusive. **Please retain one copy of the completed application for your own records.**

The Initial Application for the licensure packet consists of:

1. Coordinating Check List
2. Initial Application Fee Form - Make your check payable to "Treasurer State of Connecticut". **This fee is not refundable**
3. Initial Application for Licensure – be sure to answer all the questions completely, including signing the attestation that you have read and understand the Regulations.
4. Foster Care Verification Form
5. Adult Medical Statement for Child Care
6. CT Early Childhood Health Assessment Record (for children ages birth to 5) or Health Assessment Record (for school age children)
7. References
8. Lead Water Test

IMPORTANT

Background checks are required for each household member 18 years of age or older.. Once your application has been submitted and accepted by the Licensing Division for processing, you will receive an email invite from the Legal Division to start the background check process. Visit the Legal Division website at <https://www.ctoec.org/background-checks/>. If you have questions regarding the background check process, please contact the Background Check Information System (BCIS) at <https://helpdesk.oecit.org/>

Once your application is complete, we will contact you to schedule an inspection of your home. During the inspection we will discuss the Family Child Care Home Regulations with you, answer any questions you may have and make sure your home complies with the Regulations. **Note:** We cannot schedule an inspection of your home until your application is complete.

Please read and be familiar with the Regulations before your appointment. You can access them online at: www.ct.gov/oec or call 800-282-6063 to request a copy in the mail. In addition, please view our on-line videos titled; **How to Become a Licensed Family Child Care Provider and Maintaining Compliance: Family Child Care Homes**. These video will provide you with valuable information.

Phone: (860) 500-4450 · Fax: (860) 326-0552
450 Columbus Boulevard, Suite 302
Hartford, Connecticut 06103
www.ct.gov/oec

Coordinating Check List for Initial Family Child Care Home Application

Applicant's Name _____ Town _____

- ☐ **\$40 Application Fee** - Make your check payable to "Treasurer State of Connecticut"
- ☐ **Application**
- ☐ **Application Fee Form**
- ☐ **Foster Care or Adoption Verification Form** - required if you have ever applied for, held or currently hold a foster care or adoption license in CT or any other state.
- ☐ **Adult Medical Statement for Child Care** - for each household members 18 years of age or older. Physical examination must have been within the past year.
- ☐ **CT Early Childhood Health Assessment Record (for children ages birth to 5) or Health Assessment Record (for school age children)** – for each household member under 18 years of age. Physical examination must have been within the past year or up to date with the school's requirement and immunizations must be up to date.
- ☐ **References** – submit three Request for Reference Forms that are complete, current and signed by individuals (no more than one relative) who have known you for at least three years.
- ☐ **Certificate for Approved First Aid Training** - a copy of a certificate documenting Current certification by the American Red Cross, the American Heart Association, the National Safety Council, American Safety and Health Institute, or Medic First Aid International, Inc. or a current certification based on a first aid course approved on or before March 17, 2018 by the Office.
- ☐ **Certificates for Approved CPR Training** - a copy of a certificate documenting current certification in CPR appropriate for all of the children to be served at the family child care home.
- ☐ **Background Checks** - Background checks are required for each household member 18 years of age or older. Once your application has been submitted and accepted by the Licensing Division for processing, you will receive an email invite from the Legal Division to start the background check process.
- ☐ **Lead Water Test** - a lead water test conducted no more than twelve months prior to the date of this application, analyzed by a state certified laboratory (found at this website: <https://portal.ct.gov/DPH/Environmental-Health/Environmental-Laboratory-Certification/Environmental-Certification/Environmental-Laboratory-Certification>) from a sink used for drinking, beverage and food prep. The water shall have been standing in plumbing pipes at least six hours (Section 19a-87b-9i).
- ☐ **Well (Bacteria and Chemical) Water Test** - If you have a well, you must submit a well water test by a state certified laboratory completed within the past year. (Refer to Regulation Section 19a-87b-9(i) for a list of required tests.
- ☐ **Auxiliary heating device Inspection Report** - if you have auxiliary heating (i.e., wood stove, pellet stove, gas insert), it must be inspected and approved for proper and safe installation.



STATE OF CONNECTICUT



Initial Application Fee Form

The licensing fee along with this Initial Application Fee Invoice Form is due with your application to obtain a child care license. **THE FEE IS NON-REFUNDABLE.**

Please complete items 1 through 10 of this form. If you have questions, call the licensing office at 1-800-282-6063 or (860)500-4450. Make your payment by check or money order payable to: **TREASURER-STATE OF CONNECTICUT. Mail this form along with your payment and application to the** Connecticut Office of Early Childhood, 450 Columbus Boulevard, Suite 302, Hartford, CT 06103.

1. Name of Applicant: _____
(Legal Operator)

2. Program Name: _____
(Applicable For Group/Center Only)

3. Program Location Address: _____

Street Address *City/Town* *Zip Code*

4. Program Phone Number: (____) ____ - ____ Program Fax Number: (____) ____ - ____

5. Mailing Address (if different): _____, CT _____

Street Address *City/Town* *Zip Code*

6. E-mail Address: _____

7. Enclosed Check/Money Order: \$ _____ Check #: _____ Check Date: ____/____/____

8. Social Security # : _____ - _____ - _____ or Federal Employer ID # _____ - _____
(3 digits) (2 digits) (4 digits) (2 digits) (7 digits)

IMPORTANT: If you did not provide a Social Security # or a Federal Employer ID # above, please give an explanation of why you have not been issued such # _____

9. Proof of Worker's Compensation Insurance: Do you hire employees in your program that require Worker's Compensation? ☐ Yes ☐ No If yes, please complete the following:

Name of Insurer _____ Insurance Policy # _____

Effective Dates of Worker's Compensation Coverage ____/____/____ to ____/____/____

10. Payment is for the following type of license: (check one box below)

Child Care Center (Account #42431)	Group Care Home (Account #42431)	Family Care Home (Account #42431)
<input type="checkbox"/> 4-year license (new program) \$500.00	<input type="checkbox"/> 4-year license (new program) \$250.00	<input type="checkbox"/> 4-year license (new provider) \$40.00

Updated 2-16-2024

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Affirmative Action/Equal Opportunity Employer

8. ☐ Yes ☐ No Have you ever applied for or held a child day care license in Connecticut or in any other state? If yes:

When and where (what address)? _____

License # _____

Licensing Agency Name: _____

Licensing Agency contact information (Address, Telephone number, email): _____

9. ☐ Yes ☐ No Have you been employed as a child care staff member at a child care program in Connecticut or have been separated from child care employment within the past 180 days?

If yes, list the name of the program: _____

License #: _____

If no, you are required to complete a comprehensive background check even if you have had one within the past five years.

NOTE: You must complete a comprehensive background check prior to your licensure.

10. ☐ Yes ☐ No Have you ever applied for, held, or currently hold a Foster Care license in Connecticut or any other state? If yes, you are required to ensure that the enclosed "Foster Care" Verification" form is completed by the respective Foster Care Licensing Agency and forwarded to the Office of Early Childhood.

11. ☐ Yes ☐ No Have you ever been disciplined, terminated or put on probation from any position you held for child care? If yes, please explain:

Program Name: _____

Program Address: _____

Program Telephone Number: _____

12. ☐ Yes ☐ No Are you currently employed outside of home? If yes, describe the job and your hours of employment: _____

13. ☐ Yes ☐ No Do you plan to continue outside employment after you are licensed/approved? If yes, please explain: _____

14. What will be your customary business hours?

Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Sunday

15. Identify an emergency back-up caregiver, a responsible adult (at least 20 years of age) who is able to arrive at the facility within fifteen (15) minutes:

Name: _____ **Phone ()** _____

Street Address: _____ **City/Town:** _____ **State:** _____ **Zip Code:** _____

Work Address: _____ **City/Town:** _____ **State:** _____ **Zip Code:** _____

16. Please list all adults and children who reside in the family child care home (INCLUDING YOURSELF):

NOTE: This includes all adults and children who reside in an any space in the home, such as an in-law apartment, that is not zoned as a separate dwelling.

[illegible]

17. ☐ Yes ☐ No Do you, or any person living in the home used for child care, have any known medical or emotional illness or disorder that would pose a risk to children in care or would interfere with or jeopardize providing them with proper care? If yes, please explain:

18. ☐ Yes ☐ No Do you, or any person living in the home used for child care, take any medication(s) that would affect your ability to provide for the proper care of children? If yes, please explain: _____

19. List all staff (assistants and substitutes) in the family child care home. All staff must be pre-approved by the Agency before they begin working in your family child care home.

Please request a staff application(s) if you intend on using individuals as staff to work at your program.

Name	Complete Mailing Address Including Zip Code	Telephone #	Expiration Date (if OEC approved)
		()	
		()	
		()	

20. ☐ Yes ☐ No Was the residence in which you will be providing child care constructed before 1978? (Please check the Town Assessor's Office website or with your Town Building Department if you or the homeowner do not know this information)

PLEASE NOTE: Samples of peeling paint chips will be collected for lead testing at the time of your initial inspection if the building was constructed before 1978.

21. ☐ Yes ☐ No Is the residence in which you will be providing child care designated as a multi-family home by the Town? If so, how many dwelling units (apartments) are there? _____

22. ☐ Yes ☐ No Does the home have an auxiliary heating device, i.e., wood stove, pellet stove, gas insert? If yes, you must enclose written proof that it was inspected and approved for proper and safe installation. (Section 19a-87b-9(d)(8)).

☐ Yes ☐ No Inspection report enclosed.

23. **Lead water test**

A lead water test must be conducted no more than twelve months prior to the date of this application, analyzed by a state certified laboratory (found at this website: <https://portal.ct.gov/DPH/Environmental-Health/Environmental-Laboratory-Certification/Environmental-Laboratory-Certification>) from a sink used for drinking, beverage and food prep. The water shall have been standing in plumbing pipes at least six hours (Section 19a-87b-9i).

☐ Yes ☐ No

Lead Water Test enclosed.

24. ☐ Yes ☐ No

Is the home served by a private well?

If yes, you must also submit water tests (conducted no more than twelve months prior to the date of this application) for bacteria, physical parameters and sanitary chemicals (analyzed by a state certified laboratory). The water supply must be deemed potable, adequate and safe.

☐ Yes ☐ No

Water test enclosed.

25. ☐ Yes ☐ No

Is there a swimming pool or any other body of water at the facility or near enough to the facility to attract or be accessible to children at any time of the year?

If yes, please describe: _____

STATEMENT OF COMPLIANCE

(Printed Name)

CONNECTICUT OFFICE OF EARLY CHILDHOOD

DIVISION OF LICENSING

ADULT MEDICAL STATEMENT for CHILD CARE

Please check one of the following boxes:

- ☐ Family Child Care Home Applicant
- ☐ Family Child Care Home Staff Assistant Applicant
- ☐ Family Child Care Home Staff Substitute Applicant
- ☐ Family Child Care Home Provider - License # _____ Expiration Date _____
- ☐ Family Child Care Home Staff Assistant – Approval # _____ Expiration Date _____
- ☐ Family child Care Home Staff Substitute – Approval # _____ Expiration Date _____
- ☐ Group Child Care Home Employee / Child Care Center Employee
- ☐ Adult Member of Household

Patient's Name _____ Phone # _____ Date of Birth ____/____/____

Street Address _____ Town _____ Zip Code _____

This section must be completed by a Physician, Physician Assistant or Advanced Practice Registered Nurse:

This medical clearance is an important requirement in child care licensing laws designed to protect the health, safety and welfare of the children in day care.

1. To the best of your knowledge, does this person have any medical or emotional illness or disorder that would currently pose a risk to children in their care or would interfere with or jeopardize a caregiver's ability to render proper care for children in the child care facility? ☐ YES ☐ NO

If yes, please explain: _____

2. Date of patient's MOST RECENT examination: _____

3. Required check for Tuberculosis: Tuberculin skin test Date _____ ☐ Positive ☐ Negative
(upon employment or initial application or Chest x-ray Date _____ ☐ Positive ☐ Negative
for Child Care Center and Group
Child Care Home staff ONLY)

4. Medical Provider's Information Name: _____
- Address: _____
- Phone #: _____

5. _____ / _____
Signature of MD, APRN or PA Date



State of Connecticut

Early Childhood Health Assessment Record



To Parent or Guardian:

In order to provide the best experience, early childhood providers must understand your child's health needs. This form requests information from you (Part I) which will also be helpful to the health care provider when he or she completes the health evaluation (Part II). State law requires complete primary immunization and a health assessment by a legally qualified practitioner of medicine, an advanced practice registered nurse, a physician assistant or the school medical advisor prior to entering an early childhood program in Connecticut.

Please print

Name of Child (Last, First, Middle)		Social Security Number	Birth Date	Sex
Address (Street)		Race/Ethnicity <input type="checkbox"/> American Indian <input type="checkbox"/> White, not of Hispanic origin <input type="checkbox"/> Asian <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Black, not of Hispanic origin <input type="checkbox"/> Other		
(Town and ZIP code)				
Parent/Guardian (Last, First, Middle)		Home Phone Number	Work/Cell Phone Number	
Early Childhood Program			Program Phone Number	
Primary Health Care Provider	Preferred Hospital	Health Insurance Company/Number* or Medicaid/Number*		

* If applicable

If your child does not have health insurance, call 1-877-CT-HUSKY

Part I — To be completed by parent

**Important: Complete Part I before your child is examined.
Take this form with you to the health care provider's office.**

Please check answers to the following questions in columns on the left.

(Explain all "yes" answers in the space provided below.)

- | | Yes | No | |
|-----|--------------------------|--------------------------|---|
| 1. | <input type="checkbox"/> | <input type="checkbox"/> | Do you have any concerns about your child's general health, development or behavior? |
| 2. | <input type="checkbox"/> | <input type="checkbox"/> | Has your child been diagnosed with any chronic disease <input type="checkbox"/> asthma <input type="checkbox"/> diabetes <input type="checkbox"/> seizure disorder <input type="checkbox"/> other _____ |
| 3. | <input type="checkbox"/> | <input type="checkbox"/> | Does your child have any allergies (food, insects, medication, latex, etc.)? Please specify: _____ |
| 4. | <input type="checkbox"/> | <input type="checkbox"/> | Does your child take any medications (daily or occasionally)? |
| 5. | <input type="checkbox"/> | <input type="checkbox"/> | Does your child have any problems with vision, hearing or speech (glasses, contacts, ear tubes, hearing aids)? |
| 6. | <input type="checkbox"/> | <input type="checkbox"/> | Has your child had any hospitalization, operation, major illness or injury, or significant accident? |
| 7. | <input type="checkbox"/> | <input type="checkbox"/> | In the last 12 months, has your child experienced any difficulty with wheezing or excessive night coughing? |
| 8. | <input type="checkbox"/> | <input type="checkbox"/> | In the last 12 months, has your child experienced any difficulty with excessive weight loss or weight gain, or excessive thirst or urination? |
| 9. | <input type="checkbox"/> | <input type="checkbox"/> | Has your child had a dental examination in the last 12 months? |
| 10. | <input type="checkbox"/> | <input type="checkbox"/> | Would you like to discuss anything about your child's health with the child care provider or health consultant/coordinator? |

Please explain any "yes" answers here. For illnesses/injuries/etc., include the year and/or your child's age at the time.

I give permission for release of information on this form for confidential use in meeting my child's health and educational needs in the early childhood program.

Signature of Parent/Guardian	Date
------------------------------	------

To the Health Care Provider: Please complete all sections and sign. Explain any screenings required by age but not conducted.

☐ Yes ☐ No Is this the child's Medical Home? | Next Appointment (mm/yy): | Next Immunization Appointment (mm/yy):

CONNECTICUT OFFICE OF EARLY CHILDHOOD FIRST AID COURSES FOR FAMILY CHILD CARE – March 19, 2021

*****Please Note:** You must submit verification of current certification in first aid by the American Red Cross, the American Heart Association, the National Safety Council, American Safety and Health Institute, or Medic First Aid International, or a current certification based on a first aid course approved on or before March 17, 2018 by the Connecticut Office of Early Childhood. Courses must include a hands-on demonstration of your ability to provide first aid.

NATIONWIDE COURSE PROVIDERS

TOWN	ASSOCIATIONS	WEB ADDRESS	PHONE / CONTACT
Nationwide	American Heart Association	www.americanheart.org	1-888-277-5463
Nationwide	American Red Cross	www.ctredcross.org	1-800-733-2767
Nationwide	American Safety & Health Inst.	www.emergencycare.hsi.com	1-800-682-5067
Nationwide	Medic First Aid International, Inc.	www.emergencycare.hsi.com	1-800-800-7099
Nationwide	National Safety Council	www.nsc.org/safety-training/first-aid/courses	630-775-2336

OTHER APPROVED COURSES

TOWN	PROGRAM	COURSE NAME	E-MAIL ADDRESS	PHONE / CONTACT
Coventry	First Aid Training for CT Child Care	First Aid Training for CT Child Care	https://firstaidct.webs.com/	860-836-5015 Stephanie Knutson goldKnut@yahoo.com
Guilford	VNA Community Health Care, Inc	First Aid Course for Day Care Providers		203-458-4233 Laurie Weinberg-Rockwell, R.N.
Guilford	Community Nurse Consultant Services	First Aid for Child Care Providers	bethccnc@gmail.com	203-533-9109 Beth Capobianco, RN
Hartford / Revere, MA	Pro Health Care Services, Inc.	First Aid and Safety for Infants and Children (available in Spanish)	ggalindo54@hotmail.com	617-233-6573 Guillermo Galindo
Manchester	Manchester CPR Programs	First Aid for Child Care Providers & Parents	manchestercpr@gmail.com	860-474-3734 Dawn Sinclair
North Granby/ Ellington	Nurse Consultants, LLC	First Aid for Child Care Providers	info@nurseconsultantsllc.com Website: NurseConsultantsLLC.com	860-500-9042 Robin Young-Cournoyer
Vernon	Eastern CT Health Network	First Aid For Parents & Child Care Providers	ecrayton@echcn.org	860-647-4790 Elizabeth Crayton
Wolcott	Heartbeats	First Aid for Day Care Providers	sheliaRN1@sbcglobal.net	203-910-2886 Sheila Kane
Woodbridge	Capasso, Renee A.	First Aid for Day Care Providers		203-387-6260 Renee Capasso

CARDIOPULMONARY RESUSCITATION (CPR) PROVIDERS FOR CHILD CARE PROVIDERS

Section 19a-79 of Connecticut General Statutes, as amended by Public Act 19-105, and:

- Section 19a-79-4a of the Regulations for Connecticut State Agencies require at all times a licensed **child care center** is in operation there shall be present at least one staff member who has current certification in cardiopulmonary resuscitation (CPR). Staff of child care programs that are exempt from licensing but accept Care4Kids shall also meet this requirement; and,
- Section 19a-87b-6(c) of the Regulations for Connecticut State Agencies requires that a **family child care home** applicant/provider shall have current certification in cardiopulmonary resuscitation (CPR).

The above certification shall be appropriate for all of the children served in the child care program, shall be based on a hands-on demonstration of the individual's ability to provide CPR and shall be issued by one of the following organizations:

- **American Red Cross**
Local Chapter 877-287-3327
Training Support Center 800-Red Cross/800-733-2767
www.ctredcross.org
Note - Adult is considered age 12 or older for CPR
- **American Heart Association**
Local Number 203-294-0088
National Service Center 877-AHA-4CPR
www.Americanheart.org
Note - Adult is considered at the onset of puberty for CPR
- **American Safety & Health Institute**
1-800-447-3177
www.emergencycare.hsi.com or customerservice@hsi.com
Note - Adult is considered at the onset of puberty for CPR
- **Medic First Aid**
1-800-447-3177
www.emergencycare.hsi.com or customerservice@hsi.com
Note - Adult is considered at the onset of puberty for CPR
- **National Safety Council**
1-800-621-7615 x2336
www.nsc.org
Note - Adult is considered at the onset of puberty for CPR
- **An organization using guidelines for CPR and emergency cardiovascular care published by the American Heart Association (AHA) and International Liaison Committee on Resuscitation (ILCOR).** In such cases, there must be written confirmation that the organization follows such guidelines.



STATE OF CONNECTICUT



Foster Care or Adoption License Verification

Important: If you answered “yes” to question # 9 on the application, you are required to have this form completed.

Section 1: This section must be completed by the applicant and forwarded to the respective Foster Care Licensing Agency.

Applicant's Name: _____

Address: _____

Town, State, Zip Code: _____

Telephone #: (_____) _____

Section 2: This section below must be completed by the Foster Care Licensing Agency.

The above named person is seeking licensure as a family child care home provider or is applying to be a staff person working at a licensed family child care home and has indicated that he/she has applied for, held, or currently holds a Foster Care License. Please provide the Office of Early Childhood (OEC), Division of Licensing, with the information below.

1. Has the person listed above ever applied for or held a Foster Care or Adoption license?

☐ Yes ☐ No If yes, please provide the OEC with the licensing status and the number of foster children the person is licensed to care for. _____

Please provide the OEC with any concerns or recommendations you have concerning the impact of foster care on the provision of child care services in this person's home.

Once you have completed this form, please return it to the Connecticut Office of Early Childhood, Licensing Division - Application Unit. Should you have any questions or concerns regarding the completion of this form, you may contact the Licensing Division directly using the contact information below.

Name (please print) Signature Date: _____

Title (_____) Telephone # _____

Phone: (860) 500-4450 · Fax: (860) 326-0552

450 Columbus Boulevard, Suite 302

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Affirmative Action/Equal Opportunity Employer

**Connecticut Office of Early Childhood
Division of Licensing
Family Child Care**

Return to:

Office of Early Childhood-Family Child Care-Application Unit
450 Columbus Boulevard, Suite 302
Hartford, CT 06103

REQUEST FOR REFERENCE

Regarding the following person:	Who is an applicant for the position of:
name	<input type="checkbox"/> Main child caregiver in a Family Child Care Home
address	
town, zip state	<input type="checkbox"/> Substitute or Assistant caregiver in Family Child Care Home

Please answer the following questions:

1	How long have you known the applicant? (What period of time?) _____ In what capacity? (relative? friend? employer? caregiver? neighbor?) _____ How well do you know the applicant? _____
2	Is the applicant physically and emotionally capable of providing responsible child care? COMMENTS:
3	Is the applicant able to provide reliable and consistent child care? COMMENTS:
4	Is the applicant able to provide adequate and nutritious meals and snacks? COMMENTS:
5	Is the applicant able to deal with emergencies in a calm manner? COMMENTS:
6	Have you observed this person handling children's problem behaviors? How were the children treated?

7	In your opinion, is the applicant's family stable and harmonious? COMMENTS:	
8	Do you know of any reason that this person should not be caring for children? COMMENTS:	
9	Does the applicant demonstrate good judgment about supervision and safety for children? COMMENTS:	
10	Does the applicant demonstrate an interest and affection for children? COMMENTS:	
11	Does the applicant have a good understanding of individual children's developmental needs? COMMENTS:	
12	Please use this space for your personal comments and observations.	
	Signature:	Printed Name:
	Date:	Street:
	Telephone:	City, State, Zip:

**Connecticut Office of Early Childhood
Division of Licensing
Family Child Care**

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12	Please use this space for your personal comments and observations.	
	Signature:	Printed Name:
	Date:	Street:
	Telephone:	City, State, Zip:

**Connecticut Office of Early Childhood
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town, zip state	<input type="checkbox"/> Substitute or Assistant caregiver in Family Child Care Home

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9	Does the applicant demonstrate good judgment about supervision and safety for children? COMMENTS:	
10	Does the applicant demonstrate an interest and affection for children? COMMENTS:	
11	Does the applicant have a good understanding of individual children's developmental needs? COMMENTS:	
12	Please use this space for your personal comments and observations.	
	Signature:	Printed Name:
	Date:	Street:
	Telephone:	City, State, Zip: