





Dannel P. Malloy Governor Nancy Wyman Lt. Governor

Linda Goodman Acting Commissioner

October 31, 2016

Contract #:13DPH0078KK Maternal, Infant & Early Childhood Home VisitingAmendment #:A1Amended Period:10/1/2013 - 12/31/2016Amended Amount:\$293,120.00

Dear Mr. Beauregard:

I am pleased to inform you that the above referenced contract amendment has been fully executed and approved. Attached is a scanned copy of the original contract for your files.

Requests for Payment should be completed and directed to the contact identified below. The OEC will process requests for payment in accordance with the terms of the contract. Your receipt of payment is contingent upon the continued availability of funds and your agency's compliance with the terms of the contract.

For issues or concerns related to this contract, please direct your inquiries to:

Scope of Work: Catherine Lenihan (860) 713-5333 catherine.lenihan@ct.gov Payments: Linda Harris (860) 713-7009 *linda.harris@ct.gov* 

Sincerely man

Linda Goodman Acting Commissioner

C: Contract file

Phone: (860) 713-6410 • Fax: (860) 713-7037 165 Capitol Avenue Hartford, Connecticut 06106 www.ct.gov/oec Affirmative Action/Equal Opportunity Employer



## STATE OF CONNECTICUT OFFICE OF EARLY CHILDHOOD

# CONTRACT AMENDMENT

| Contractor:               | United Way of Connecticut                      |
|---------------------------|--|
| Contractor Address:       | 1344 Silas Deane Highway, Rocky Hill, CT 06067 |
| Contract Number:          | 13DPH0078KK                                    |
| Amendment Number:         | A1   |
| Amount as Amended:        | \$293,120.00                                   |
| Contract Term as Amended: | 10/1/2013 - 12/31/2016                         |

The contract between United Way of Connecticut (the "Contractor") and the Department of Public Health (the "Agency"), which was last executed by the parties on effective date: 10/1/2013, is hereby amended as follows:

- 1. Effective February 1, 2015, the Contracting State Agency is changed from the Department of Public Health to the Office of Early Childhood pursuant to Public Act 14-39, and all references to "Department" or "Department of Public Health" in the original contract and its subsequent amendments shall henceforth refer to the Office of Early Childhood.
- 2. The term of the contract is extended for an additional three months and the end date of the contract is changed from 9/30/2016 to 12/31/2016.
- 3. Page 1 of the contract is amended because the total maximum amount payable under this contract has increased by \$76,739.00 from \$216,381.00 to \$293,120.00 to continue contractual services through 12/31/2016 and to fund an additional care coordinator position from 1/1/2016 through 12/31/2016.
- 4. The budget on page 2 of this amendment shall be appended to the budget for Period Three on page 13 of the original contract.
- 5. All terms and conditions of the original Contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

6. The Effective Date of this Amendment is the date on which the last signatory executes this Amendment.

# Effective Date: <u>8/26/2016</u> CONTRACT NUMBER: <u>13DPH0078KK Tot \$293,120</u> CONTRACT PERIOD: <u>10/01/2013 through 12/31/2016</u> ST FISCAL YR (SFY): <u>2016</u> PROVIDER: <u>United Way of Connecticut</u> *Approved by: CappuccittiM*

|  |               |                 |                      | MIE  | CHV 22709                               |                          |              |
|--|---------------|-----------------|----------------------|--|---|--------------------------|--------------|
| 4000 INCOME  |               | MIECHV 22709-CF |                      | <ul> <li>Announced Attraction Address and control</li> </ul> |   |                          |              |
| Program Funding Period:                            |               | 01/01/2016      |                      | 01/01/2015   |   |                          |              |
|  |               | ti              | rough                | through  |   |                          |              |
|  |               | 12/31/2016      |                      | 12/31/2016   |   | Te                       | otal Income  |
|  |               |                 | 5-12/31/16           |  | 16-12/31/16                             |                          |              |
|  |               |                 | \$ C/F               |  | 576,739                                 |                          |              |
|  |               |                 | 2=12MTHS             | Tekçişi  |   |                          |              |
|  |               |                 | C/F                  | Chtfle   | 1 2=12MTHS                              |                          |              |
| 4100 CONTRACT FUNDING                              | SID           | <u>\$</u>       | 15,990               | \$   | 76,739                                  | \$                       | 92,729       |
| 4102 Federal/Other Funds                           | 22709-OEC7/CF | \$              | 15,990               |  |   | \$                       | 15,990       |
| 4102 Federal/Other Funds                           | 22709-OEC7/15 |                 |                      | \$   | 76,739                                  | \$                       | 76,73        |
| TOTAL INCOME                                       |               | <u>s</u>        | <u>15.990</u>        | Ś  | <u>76.739</u>                           | 5                        | 92.72        |
|  |               |                 |                      | MIECHV 22709   |   |                          |              |
| 5000 DIRECT EXPENSES                               |               | MIECH           | MIECHV 22709-CF 2015 |  | 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | Total Expenses           |              |
| 5100 SALARIES                                      |               | \$              | 9,472                | 5  | 45,460                                  | \$                       | 54,932       |
| 5101 Staff Salaries & Wages                        |               | \$              | 9,472                | \$   | 45,460                                  | \$                       | 54,932       |
| 5200 FRINGE BENEFITS                               |               | \$              | 3,272                | \$   | 15,703                                  | \$                       | 18,975       |
| 5300 CONTRACTUAL SERVICES                          |               | \$              | 265                  | \$   | 1.272                                   | \$                       | 1,537        |
| 5304 Other Contractual (specify in narrative)      |               | \$              | 265                  | \$   | 1,272                                   | \$                       | 1,533        |
| 5500 MATERIALS AND SUPPLIES                        |               | \$              | 448                  | \$   | 2,261                                   | \$                       | 2,709        |
| 5504 Other Mitris and Sppis (specify in narrative) |               | \$              | 448                  | \$   | 2,261                                   | \$                       | 2,70         |
| 5600 FACILITIES                                    |               | \$              | 743                  | \$   | 3,566                                   | \$                       | 4,309        |
| 5601 Rent and Real Estate Taxes                    |               | \$              | 743                  | \$   | 3,566                                   | \$                       | 4,305        |
| 5800 OTHER EXPENSES                                |               | <u>\$</u>       | 287                  | <u>s</u>   | 1,377                                   | <u>\$</u>                | 1,664        |
| 5801 Communications                                |               | \$ .            | 250                  | \$   | 1,198                                   | \$                       | 1,448        |
| 5804 Staff Training and Conferences                |               | \$              | 25                   | \$   | 122                                     | \$                       | 147          |
| 5806 Other (specify in narrative)                  |               | \$              | 12                   | \$   | 57                                      | \$                       | 69           |
| TOTAL DIRECT EXPENSES                              |               | \$              | 14.487               | \$   | 69.639                                  | \$                       | 84.126       |
|  |               |                 |                      | MIECHV 22709   |   | Voltan otenska karalista |              |
| 7000 INDIRECT EXPENSES                             |               | MIECH           | V 22709-CF           |  | 2015                                    | То                       | tal Expenses |
| 7100 ADMINISTRATIVE & GENERAL                      |               | \$              | 1,503                | \$   | 7,100                                   | \$                       | 8,603        |
| 7111 Staff Salaries & Wages                        |               | \$              | 833                  | \$   | 3,933                                   | <u>\$</u>                | 4,766        |
| 7120 Fringe Benefits                               |               | \$              | 362                  | \$   | 1,712                                   | \$                       | 2,074        |
| All Other A&G                                      |               | \$              | 308                  | \$   | 1,455                                   | \$                       | 1,763        |
| TOTAL INDIRECT EXPENSES                            |               | <u>\$</u>       | <u>1,503</u>         | \$   | 7,100                                   | \$                       | 8,603        |
| TOTAL EXPENSES                                     |               | s               | 15.990               | 5  | 76.739                                  | 5                        | 92.729       |
|  |               | 199,931         |                      | MIF  | CHV 22709                               | - 9230                   |              |
| INCOME/EXPENSE SUMMARY                             |               | MIECH           | V 22709-CF           |  | <u>2015</u>                             |                          | <u>Total</u> |
| TOTAL INCOME                                       |               | \$              | 15,990               | \$   | 76,739                                  | \$                       | 92,729       |
| TOTAL EXPENSES                                     |               | <u>\$</u>       | 15,990               | <u>\$</u>  | 76,739                                  | <u>\$</u>                | 92,729       |
| EXCESS/(SHORTAGE)                                  |               | <u>\$</u>       | <u> </u>             | \$   |   | <u>\$</u>                |              |

### SIGNATURES AND APPROVALS

## 13DPH0078KK AMENDMENT A1

IN WITNESS WHEREOF, the parties have executed this Contract by their duly authorized representatives with full knowledge of and agreement with its terms and conditions.

The Contractor IS NOT a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

### THIS AGREEMENT MAY BE EXECUTED IN COUNTERPARTS

CONTRACTOR - UNITED WAY OF CONNECTICUT

MITCH BEAUREGARD, Vice President for Business Operations

<u>9 129 1 16</u> Date

OFFICE OF EARLY CHILDHOOD

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LINDA GOODMAN, Acting Commissioner

CONNECTICUT ATTORNEY GENERAL (APPROVED AS TO FORM) Joreph Rubin ABST. / ASSOC. ATTORNEY GENERAL

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